

9379 U.S. PTO
09/882570
06/14/01

JC867 U.S. PTO

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Attorney Docket No	SECU0013
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First Inventor or Application Identifier	Cooper et al.
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Title	Credential/Condition Assertion Verification Optimization
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(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EL816158754US

Express Mail Label No. EL816158754US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | | | |
|----|-------------------------------------|---|-------------------|
| 1. | <input checked="" type="checkbox"/> | * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing) | |
| 2. | <input checked="" type="checkbox"/> | Specification
(preferred arrangement set forth below) | [Total Pages] 176 |
| | | <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfilm Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | |
| 3. | <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C. 113) | [Total Sheets] 33 |
| 4. | | Oath or Declaration | [Total Pages] 2 |

5. ☐ Microfilm Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Small Entity ☐ Statement filed in prior application
Statement(s) ☐ Status still proper and desired
(PTO/SSB-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENT
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCE
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09 / 479,781
 Prior application information: Examiners Unknown

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label 22862 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name _____

Address

City

Country

State

Telephone

Key Points

509

Name (Font/Type)

Kirk D. Wong

Registration No. (Attorney/Agent)

42 284

Signature _____

1994

6/13/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$) 355.00

Complete if Known

Application Number Unassigned
Filing Date Herewith
First Named Inventor Cooper et al.
Examiner Name Unassigned
Group / Art Unit Unassigned
Attorney Docket No. SECU0013

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number 07-1445

Deposit Account Name Michael Glenn

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	760	201 380	Utility filing fee	355.00
106	310	206 155	Design filing fee	
107	480	207 240	Plant filing fee	
108	760	208 380	Reissue filing fee	
114	150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEE

Total Claims	Extra Claims	Fee from below	Fee Paid
12	-20** = 0	9.00	0.00
3	-3** = 0	40.00	0.00
Multiple Dependent			

**or number previously paid, if greater, For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	78	202 39	Independent claims in excess of 3
104	260	204 130	Multiple dependent claim, if not paid
109	78	209 39	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	Non-English specification	
147	2,520	147 2,520	For filing a request for reexamination	
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	Extension for reply within first month	
116	380	216 190	Extension for reply within second month	
117	870	217 435	Extension for reply within third month	
118	1,360	218 680	Extension for reply within fourth month	
128	1,850	228 925	Extension for reply within fifth month	
119	300	219 150	Notice of Appeal	
120	300	220 150	Filing a brief in support of an appeal	
121	260	221 130	Request for oral hearing	
138	1,510	138 1,510	Petition to institute a public use proceeding	
140	110	240 55	Petition to revive - unavoidable	
141	1,210	241 605	Petition to revive - unintentional	
142	1,210	242 605	Utility issue fee (or reissue)	
143	430	243 215	Design issue fee	
144	580	244 290	Plant issue fee	
122	130	122 130	Petitions to the Commissioner	
123	50	123 50	Petitions related to provisional applications	
126	240	126 240	Submission of Information Disclosure Sheet	
561	40	561 40	Recording each patent assignment per property (times number of properties)	0.00
146	760	246 380	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	760	249 380	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Kirk D. Wong

Signature 

Registration No. (Attorney/Agent)

43,284

Complete if applicable

Telephone 650-474-8400

Date 6/13/01